

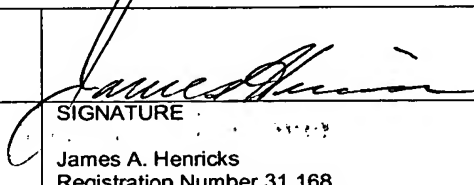
Form PTO-1390 U.S. DEPARTMENT OF COMMERCE AND TRADEMARK OFFICE (REV. 11-2000)		Atty. Docket No. 010100-120	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application No. Corresponds to PCT/AU03/00023 (If known, see 37 CFR 1.5)	
		10/501582	
INTERNATIONAL APPLICATION NO. PCT/AU03/00023	INTERNATIONAL FILING DATE January 14, 2003	PRIORITY DATE CLAIMED PR9949 - January 14, 2002 PS1717 - April 16, 2002	
TITLE OF INVENTION <b>A FIN ASSEMBLY</b>			
APPLICANT(S) FOR DO/EO/US <b>Troy CLUTTON &amp; Dean COLE</b>			
Applicant herewith submits to the United States Designated/Elected Office (EO/DO/US) the following items and other information:			
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (<b>Executed copy</b>) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 37(c)(5)).</li> </ol> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording (<b>Executed copy</b>). A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</li> <li>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: Post Card</li> </ol>			

U.S. Application (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. Corresponds to PCT/AU03/00023		Atty. Docket No. 010100-120	
21. <input type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,080  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 860  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 710  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 690  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$ 100  <b>ENTER APPROPRIATE BASIC FEE AMOUNT</b>				<b>CALCULATIONS PTO Use Only</b>          \$1,080.00	
=					
Surcharge of \$130 for furnishing the oath or declaration later than 30 <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	\$
Total Claims		56 - 20 =	36	X \$ 18	\$ 648.00
Independent Claims		11 - 3 =	8	X \$ 80	\$ 688.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)				+ \$290	\$ 290.00

## TOTAL OF ABOVE CALCULATIONS =

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$	
<b>SUBTOTAL</b>		\$2,706.00	
=			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest priority date (37 CFR 1.492(f)).		\$	
<b>TOTAL NATIONAL FEE</b>		\$2,706.00	
=			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +		\$40	
<b>TOTAL FEES ENCLOSED</b>		= \$2,746.00	
		Amount to be refunded:	\$
		Charged:	\$

- a. ☒ A check, No. 3557, in the amount of \$2,706.00 to cover the above fees is enclosed.
- b. ☐ Please charge my **Deposit Account No. 50-0655** in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to **Deposit Account No. 50-0655**. A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO: HENRICKS, SLAVIN & HOLMES, LLP 840 Apollo Street, Suite 200 El Segundo, CA 90245		 SIGNATURE James A. Henricks Registration Number 31,168
Attention:	James A. Henricks	
Telephone:	310-563-1456	
Fax:	310-563-1460	
Email:	jhenricks@hsh-iplaw.com	
Customer No.		<b>21836</b>  PATENT TRADEMARK OFFICE

DT04 Rec'd PCT/PTO 1 4 JUL 2004

**TRANSMITTAL LETTER TO THE  
UNITED STATES DESIGNATED/ELECTED  
OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35  
U.S.C. 371**

Attorney Docket No. **010100-120** Total Pages **2**

First Named Inventor or Application Identifier:

**Troy CLUTTON & Dean COLE**Title: **A FIN ASSEMBLY**Express Mail Label No. **EV421393224US****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: **Commissioner for Patents  
Mail Stop PCT  
P.O. Box 1450  
Alexandria, VA 22313-1450**1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing.)2. ☒ Specification Total Pages **22**  
- Descriptive title of the invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention6. ☐ Microfiche Computer Program (Appendix)7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)a. ☐ Computer readable copyb. ☐ Paper copy (identical to computer copy)c. ☐ Statement verifying identity of above copies- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **5**; FIGS. **1-9**4. ☒ Oath or Declaration Total Pages **2**a. ☒ Executed (copy)b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 17 completed)  
(Note Box 5 below)i. ☐ Deletion of Inventor(s)Signed statement attached deleting inventor(s)  
named in the prior application, see 37 C.F.R.  
1.63(d)(2) and 1.33(b).5. ☐ Incorporation By Reference (useable if Box 4b is checked).  
The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.**1. Accompanying Application Parts**8. ☒ Assignment Papers (cover sheet & documents)9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney10. ☐ English Translation Document (if applicable)11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of  
Citations (as  
needed)

12. Preliminary Amendment

a. ☒ Amendment to Claimsb. ☒ Amend the Specification by adding the  
following immediately after the Title: "This  
application is a National Stage filing of  
PCT/AU03/00023, filed January 14, 2003."13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)14. ☐ Small Entity ☐ Statement filed in prior appln.  
Statement(s) Status still proper and desired.15. ☐ Certified Copy of Priority Document(s)  
(If foreign priority is claimed)16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. :Prior application information: Examiner: **N/A**Group/Art Unit: **N/A****COPY**

10/501582

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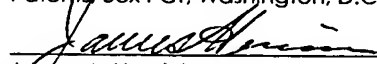
18. CORRESPONDENCE ADDRESS		
<input checked="" type="checkbox"/> Customer No.	<b>21836</b>	Or <input type="checkbox"/> New correspondence address Below
Attn: James A. Henricks	<b>HENRICKS, SLAVIN &amp; HOLMES LLP</b> 840 Apollo Street, Suite 200 El Segundo, California 90245 U.S.A.	Telephone (310) 563-1456 Fax (310) 563-1460

### FEE CALCULATION

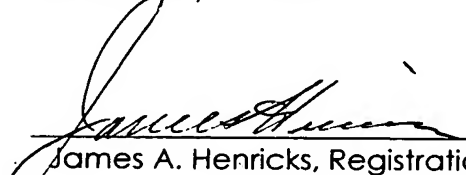
CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS (\$)
	TOTAL CLAIMS (37 CFR 1.16(c))	56 - 20	= 36	x \$ 18	648
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	11 - 3	= 8	X \$ 86	688
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$ 290.00	290.00
				BASIC FEE (37 CFR 1.16(a))	1,080.00
	Surcharge of \$130.00 for furnishing the oath or declaration later than <b>30 months</b>				0
	From the earliest claimed priority date (37 CFR 1.492(e))	Total of above Calculations =			2,706.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				00
				TOTAL =	\$2,706.00

19. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-0655:
- a. ☒ Fees required under 37 CFR 1.16.
  - b. ☒ Fees required under 37 CFR 1.17.
  - c. ☐ Fees required under 37 CFR 1.18.
20. ☒ A check in the amount of \$ 2706.00, No. 3557, is enclosed.
21. ☐ Other:

Issuance of the official Filing Receipt at an early date is in order.

Express Mailing Label No. <b>EV421393224US</b>
I hereby certify that on July 14, 2004, I am depositing this correspondence and all listed attachments with the United States Postal Service "Express Mail Post Office to Addressee," service under 37 C.F.R. § 1.110, addressed to Commissioner for Patents, Box PCT, Washington, D.C. 20231.
 James A. Henricks

Dated: July 14, 2004

  
James A. Henricks, Registration No. 31,168

**HENRICKS, SLAVIN & HOLMES LLP**  
840 Apollo Street, Suite 200  
El Segundo, California 90245 U.S.A.  
Telephone (310) 563-1456 • Fax (310) 563-1460